

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Hollenberg et al.

International Application No. PCT/EP00/06159

International Filing Date: July 1, 2000

Serial No. 10/031,740

Examiner: To be assigned

Filed: To be assigned

Art Unit: To be assigned

Confirmation No. 8626

**TITLE: NOVEL DYES AND COLORANTS**

**TRANSMITTAL LETTER FOR SECOND SUBMISSION**  
**UNDER 35 USC §371 AND RESPONSE TO NOTICE OF MISSING PARTS**

Box PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

Attn: DO/EO/US

Sir:

Transmitted herewith for filing in the above-identified patent application is:

- ☐ An Amendment Responsive to the Office Action Dated \_\_\_\_\_.
- ☒ An Amendment Supplemental to the Preliminary Amendment filed January 7, 2002.
- ☐ Other: \_\_\_\_\_.
- ☐ \_\_\_\_\_ Sheet of Proposed Corrected Informal Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: \_\_\_\_\_  
\_\_\_\_\_ is enclosed.

DOCKET NO.: H 3170 PCT/US  
Serial No.: 10/031,740

PATENT

- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
- ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: \_\_\_\_\_ .
- ☒ Other Material as follows: Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US).
- ☒ Executed Declaration (1 originals), (4 pgs. total) in response to Notice of Missing Parts.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for responding to the Notification of Missing Requirements mailed \_\_\_\_\_ to and through \_\_\_\_\_, comprising an extension of the shortened statutory period of \_\_\_ month(s).
- ☐ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 01-1250, Order No. \_\_\_\_\_.

DOCKET NO.: H 3170 PCT/US  
Serial No.: 10/031,740

PATENT

**FEE CALCULATION**

[ ] No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	20	20 (20 MINIMUM)	0	\$9 EACH	\$	\$18 EACH	\$
INDEP. CLAIMS	2	2 (3 MINIMUM)	0	\$42 EACH	\$	\$84 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input checked="" type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS: Missing Parts 37 CFR 1.492(e) <u>Deposit Account No. 01-1250 - Order No. 02-0250</u>							\$130
TOTAL FEE DUE							\$130

06/18/2002 GFREY1 00000066 011250 10031740  
01 FC:154 130.00 CH

**DOCKET NO.: H 3170 PCT/US**  
**Serial No.: 10/031,740**

**PATENT**

- ☒ [X] The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 01-1250, Order No. \_\_\_\_.
- ☒ [X] The Foregoing Amount Due for Filing this Paper.
- ☒ [X] Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ [X] Any additional patent application processing fees under 37 C.F.R. 1.17.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to notify the undersigned.

Respectfully submitted,

Kimberly R. Hild  
Kimberly R. Hild  
(Reg. No. 39,224)  
Attorney for Applicant  
(610) 278-4964

Date June 13, 2002  
Henkel Corporation Patent Law Dept.  
2500 Renaissance Boulevard, Suite 200  
Gulph Mills, PA 19406.

KRI-H#4



UNITED STATES PATENT AND TRADEMARK OFFICE

Dec Sur Notice - 6/24/02

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/031,740	FIRST NAMED APPLICANT Detlef Hollenberg	ATTY. DOCKET NO. H 3170 PCT/US
---	--	-----------------------------------

INTERNATIONAL APPLICATION NO. PCT/EP00/06159
---

I.A. FILING DATE 07/01/2000	PRIORITY DATE 07/06/1999
--------------------------------	-----------------------------

 Kimberly R Hild  
 Henkel Corporation Law Dept  
 2500 Renaissance Blvd  
 Suite 200  
 Gulph Mills, PA 19406

RECEIVED

APR 29 2002

HENKEL LAW DEPT.

 CONFIRMATION NO. 8626  
 371 FORMALITIES LETTER


\*OC000000007925442\*

Date Mailed: 04/24/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,740	PCT/EP00/06159	H 3170 PCT/US